

Special course in Computer Science: Molecular Computing

Lecture 14: Complexity and universality of
gene assembly. Invariants.

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Complexity measures, simplest gene patterns

One may consider a *computational type of complexity* giving an 'objective' complexity measure for ciliate genes - it shows how much that gene has evolved and how involved its assembling is

- In this way, the simplest genes are those than can be assembled using Id only, because this is the 'simplest' operation
- Indeed, this corresponds to the intuition – the genes than can be assembled using Id have the MDSs in the orthodox order, or circularly shifted

Complexity of gene assembly

- Gene Assembly - a computational process consisting of a sequence of l_d , h_i , d_{l_d}
- The *complexity of the process* – consider the number of the operations and/or the complexity of the operations
- The *complexity of the gene* – the minimal complexity of an assembly for that gene
- *Similarity measure* – assembly processes with similar complexity

Types of complexity measures

- Compare the operations used in the assembly - some of them are more complex than the others. This leads to considering the genes that can be assembled using a given subset of operations.
- Compare the folds involved in the operations applied in some assembly - some of them are more complex than the others. This leads to considering *simple operations*.
- Consider the operations to be applied in parallel and the number of parallel steps in an assembly strategy. This leads to the parallel complexity investigation.

Complexity: types of operations

- **Idea 1:** Define the complexity as the number of operations needed in an assembly
 - The operations are considered here to have the same complexity
- **Idea 2:** Extend the previous idea by considering different complexities for different types of operations; consider weights for each operation
- Clearly, l_d is the simplest of the operations, while d_{lad} is the most complex one. Thus: $l_d < h_i < d_{lad}$

Complexity measures: Example

Actin I gene in *Sterkiella nova*:

$$v = 3\ 4\ 4\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ -2\ 2\ 8\ 9.$$

$$V = 3\ 4\ 4\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ -2\ 2\ 8\ 9 \rightarrow (\text{snr}_4)$$

$$3\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ -2\ 2\ 8\ 9 \rightarrow (\text{spr}_2)$$

$$3\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ 8\ 9 \rightarrow (\text{spr}_3)$$

$$-9\ -8\ -7\ -6\ -5\ -7\ -6\ -5\ 8\ 9 \rightarrow (\text{spr}_9)$$

$$-8\ 5\ 6\ 7\ 5\ 6\ 7\ 8 \rightarrow (\text{spr}_8)$$

$$-7\ -6\ -5\ -7\ -6\ -5 \rightarrow (\text{sdr}_{7,6})$$

$$-5\ -5 \rightarrow (\text{snr}_5)$$

$\Lambda.$

Complexity measures: Example

$V = 3\ 4\ 4\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ -2\ 2\ 8\ 9 \rightarrow (\text{snr}_4)$

$3\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ -2\ 2\ 8\ 9 \rightarrow (\text{spr}_2)$

$3\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ 8\ 9 \rightarrow (\text{spr}_3)$

$-9\ -8\ -7\ -6\ -5\ -7\ -6\ -5\ 8\ 9 \rightarrow (\text{spr}_9)$

$-8\ 5\ 6\ 7\ 5\ 6\ 7\ 8 \rightarrow (\text{spr}_8)$

$-7\ -6\ -5\ -7\ -6\ -5 \rightarrow (\text{sdr}_{7,6})$

$-5\ -5 \rightarrow (\text{snr}_5)$

$\Lambda.$

- 2 snr, 4 spr, 1 sdr operations. In total: 7 op.
- The complexity of this reduction in the above sense, is $2 \cdot \text{csnr} + 4 \cdot \text{cspr} + 1 \cdot \text{csdr}$, where csnr , cspr , csdr are the weights associated to snr, spr, sdr.

Complexity measures: Example

- Another reduction for v :

$$V = 3\ 4\ 4\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ -2\ 2\ 8\ 9 \rightarrow (\text{snr}_4)$$

$$3\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ -2\ 2\ 8\ 9 \rightarrow (\text{spr}_2)$$

$$3\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ 8\ 9 \rightarrow (\text{sdr}_{5,6})$$

$$3\ 7\ 7\ 8\ 9\ -3\ 8\ 9 \rightarrow (\text{sdr}_{8,9})$$

$$3\ 7\ 7\ -3 \rightarrow (\text{snr}_7)$$

$$3\ -3 \rightarrow (\text{spr}_3)$$

Λ .

- 2 snr, 2 spr, 2 sdr operations. In total: 6 op.
- The complexity of this reduction is $2 \cdot \text{csnr} + 2 \cdot \text{cspr} + 2 \cdot \text{csdr}$.
- Recall: the complexity of the former reduction was $2 \cdot \text{csnr} + 4 \cdot \text{cspr} + 1 \cdot \text{csdr}$.

Complexity measures: Example

$V = 3\ 4\ 4\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ -2\ 2\ 8\ 9 \rightarrow (\text{snr}_4)$

$3\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ -2\ 2\ 8\ 9 \rightarrow (\text{spr}_2)$

$3\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ 8\ 9 \rightarrow (\text{spr}_3)$

$-9\ -8\ -7\ -6\ -5\ -7\ -6\ -5\ 8\ 9 \rightarrow (\text{spr}_9)$

$-8\ 5\ 6\ 7\ 5\ 6\ 7\ 8 \rightarrow (\text{spr}_8)$

$-7\ -6\ -5\ -7\ -6\ -5 \rightarrow (\text{sdr}_{7,6})$

$-5\ -5 \rightarrow (\text{snr}_5)$

$\Lambda.$

$V = 3\ 4\ 4\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ -2\ 2\ 8\ 9 \rightarrow (\text{snr}_4)$

$3\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ -2\ 2\ 8\ 9 \rightarrow (\text{spr}_2)$

$3\ 5\ 6\ 7\ 5\ 6\ 7\ 8\ 9\ -3\ 8\ 9 \rightarrow (\text{sdr}_{5,6})$

$3\ 7\ 7\ 8\ 9\ -3\ 8\ 9 \rightarrow (\text{sdr}_{8,9})$

$3\ 7\ 7\ -3 \rightarrow (\text{snr}_7)$

$3\ -3 \rightarrow (\text{spr}_3)$

$\Lambda.$

- The latter reduction is easier, if only operations are counted: the former reduction uses 7 and the latter uses only 6 operations.
- On the other hand, the latter reduction is harder, if $\text{csdr} > 2 \cdot \text{cspr}$.

Complexity: types of patterns

- One can go even deeper and consider that the weight depends also on the type of pattern to which is applied: e.g., if $u = u_1 p u_2 - p u_3$, then $cspr_p = |u_2|$ and if $u = u_1 p u_2 q u_3 p u_4 q u_5$, then $csdr_{p,q} = 2 \cdot (|u_2| + |u_4|)$.
- In this case, the complexity of the first reduction is 22, while that of the second is 0!
- The second strategy only uses 'simple folds', while the first one uses long folds

Complexity classes and similarity measure

- The complexity classes give as usual a measure of similarity
 - Two genes may be considered 'similar' from a computational point of view if they can be assembled using the same subset of operations
 - Question: what is the set of micronuclear genes that can be assembled using a given subset of operations ?
 - The answer to this question defines the complexity classes

Complexity defined through subsets of operations

- We consider all possible subsets of $\{ld, hi, dlad\}$ and characterize those micronuclear gene patterns that can be assembled using only those operations
- Each of the characterizations can be stated in any of the three levels of the intramolecular model: MDS descriptors, strings, or graphs
- In each case, we choose here the level giving the 'simplest' way of stating the result

Patterns that can be assembled using Id only

- An MDS descriptor can be assembled using Id *only if and only if* it can be obtained from an orthodox sequence of MDSs through cyclic shifts
 - $(i, i+1)(i+1, i+2) \dots (k, e)(b, 2) \dots (i-1, i)$, or
 - $(\bar{i}, \bar{i}-1) \dots (\bar{2}, \bar{b})(\bar{e}, \bar{k}) \dots (\bar{i}+2, \bar{i}+1)(\bar{i}+1, \bar{i})$, $1 \leq i \leq k$
- A signed overlap graph can be assembled using gnr *only if and only if* it consists of isolated negative vertices only (it is a discrete negative graph)

Patterns that can be assembled using l_d and h_i only

- A signed overlap graph can be assembled using g_{nr} *and* g_{pr} *only if and only if* every non-trivial (more than two nodes) connected component contains at least one positive vertex

Patterns that can be assembled using ld and $dlad$ only

- An MDS-descriptor can be assembled using ld , $dlad$ only if and only if either none of its pairs, or all of them are signed.
- A signed double occurrence string can be assembled using snr and sdr only if and only if all the pointers are negative.
- A signed overlap graph can be assembled using gnr and gdr only if and only if all the vertices are negative.

Patterns that can be assembled using hi only - example

- Example: M1M4-M2M3, with the legal string $u = 2\ 4\ -3\ -2\ 3\ 4$.
- A successful assembly using spr only:
 $V = 2\ 4\ -3\ -2\ 3\ 4 \rightarrow (\text{spr}_2) 3\ -4\ 3\ 4 \rightarrow (\text{spr}_4) -3\ 3 \rightarrow (\text{spr}_3) \Lambda$.
- An unsuccessful one:
 $V \rightarrow (\text{spr}_3) 2\ 4\ 2\ 4,$
- but the resulting legal string is not successful in Spr.

Patterns that can be assembled using hi only - example

Another example: $-M_1M_2M_4M_3$, with the legal string

$$v = -2\ 2\ 3\ 4\ 3\ 4,$$

is not successful in Spr, because of the legal substring
3 4 3 4 with no positive pointers.

Patterns that can be assembled using ld, hi and dlad – universality result

- *Universality result: Any MDS descriptor can be assembled using a sequence of ld, hi, dlad.*
- Note: Some genes may need all three operations to be assembled - see Actin I in *O.nova*,
(3, 4)(4, 5)(6, 7)(5, 6)(7, 8)(9, e)(-3, -2)(b, 2)(8, 9)
- ld is certainly needed: pointer 4
- so it is hi: pointers 2 and 3
- dlad is also needed since the associated graph has one non-trivial negative component

Complexity measures: length of the interval

- We have concentrated so far on the type of operations that are applied in a gene assembly
- However, two applications of the same operations may have different complexities, depending on the intervals involved in the operation
- The simplest possible intervals involved in the operations give rise to the *simple applications of our operations*

- The Id_p operation:

$$Id_p(\delta_1(q,p)(p,r)\delta_2) = \delta_1(q,r)\delta_2,$$

$$Id_p((p,m_1)(m_2,p)) = (m_2,m_1).$$

- Id is always simple: there is only one IES between p.
 - A boundary application of Id is always the last step in a circular assembly

The hi_p operation:

$$hi_p(\delta_1(p,q)\delta_2(-p,-r)\delta_3) = \delta_1 -\delta_2 (-q,-r) \delta_3,$$

$$hi_p(\delta_1(q,p)\delta_2(-r,-p)\delta_3) = \delta_1 (q,r) -\delta_2 \delta_3,$$

Simple hi_p : there is only one IES between p and $-p$:

The hi_p operation:

$$hi_p(\delta 1(p,q)\delta 2(-p,-r)\delta 3) = \delta 1 \ -\delta 2 \ (-q,-r) \ \delta 3,$$

$$hi_p(\delta 1(q,p)\delta 2(-r,-p)\delta 3) = \delta 1 \ (q,r) \ -\delta 2 \ \delta 3,$$

Simple hi_p operation:

$$shi_p(\delta 1(p,q)(-p,-r)\delta 3) = \delta 1 \ (-q,-r) \ \delta 3,$$

$$shi_p(\delta 1(q,p)(-r,-p)\delta 3) = \delta 1 \ (q,r) \ \delta 3,$$

Effect: p is removed from the pattern and at most one pointer is inverted, when shi is applied

- The $\text{dlad}_{p,q}$ operation:

$$\text{dlad}_{p,q}(\delta_1(p,r_1)\delta_2(q,r_2)\delta_3(r_3,p)\delta_4(r_4,q)\delta_5) = \delta_1\delta_4(r_4,r_2)\delta_3(r_3,r_1)\delta_2\delta_5$$

$$\text{dlad}_{p,q}(\delta_1(p,r_1)\delta_2(r_2,q)\delta_3(r_3,p)\delta_4(q,r_4)\delta_5) = \delta_1\delta_4\delta_3(r_3,r_1)\delta_2(r_2,r_4)\delta_5$$

$$\text{dlad}_{p,q}(\delta_1(r_1,p)\delta_2(q,r_2)\delta_3(p,r_3)\delta_4(r_4,q)\delta_5) = \delta_1(r_1,r_3)\delta_4(r_4,r_2)\delta_3\delta_2\delta_5$$

$$\text{dlad}_{p,q}(\delta_1(r_1,p)\delta_2(r_2,q)\delta_3(p,r_3)\delta_4(q,r_4)\delta_5) = \delta_1(r_1,r_3)\delta_4\delta_3\delta_2(r_2,r_4)\delta_5$$

$$\text{dlad}_{p,q}(\delta_1(p,r_1)\delta_2(q,p)\delta_4(r_4,q)\delta_5) = \delta_1\delta_4(r_4,r_1)\delta_2\delta_5$$

$$\text{dlad}_{p,q}(\delta_1(p,q)\delta_3(r_3,p)\delta_4(q,r_4)\delta_5) = \delta_1\delta_4\delta_3(r_3,r_4)\delta_5$$

$$\text{dlad}_{p,q}(\delta_1(r_1,p)\delta_2(q,r_2)\delta_3(p,q)\delta_5) = \delta_1(r_1,r_2)\delta_3\delta_2\delta_5$$

Simple dlad_{p,q}: there is exactly one IES in the two sequences between p and q:

$$\text{sdlad}_{p,q}(\delta 1(p,q)\delta 3(r3,p)(q,r4)\delta 5)=\delta 1\delta 3(r3,r4)\delta 5$$

$$\text{sdlad}_{p,q}(\delta 1(r1,p)(q,r2)\delta 3(p,q)\delta 5)=\delta 1(r1,r2)\delta 3\delta 5$$

Effect: p and q are simply removed from the pattern,
when $\text{sdlad}_{p,q}$ is applied

Simple operations: Example

$(3, 4) (4, 5) (6, 7) (5, 6) (7, 8) (9, e) (-3, -2) (b, 2) (8, 9) \rightarrow (\text{Id}_4)$

$(3, 5) (6, 7) (5, 6) (7, 8) (9, e) (-3, -2) (b, 2) (8, 9) \rightarrow (\text{dlad}_{5,6})$

$(3, 7) (7, 8) (9, e) (-3, -2) (b, 2) (8, 9) \rightarrow (\text{Id}_7)$

$(3, 8) (9, e) (-3, -2) (b, 2) (8, 9) \rightarrow (\text{dlad}_{8,9})$

$(3, e) (-3, -2) (b, 2) \rightarrow (\text{hi}_2)$

$(3, e) (-3, -b) \rightarrow (\text{hi}_3)$

$(-e, -b)$

Simple operations are not universal

- The set of our simple operations is NOT universal - there are MDS descriptors / legal strings that cannot be assembled using simple operations only

Example: $\delta = (-2,-b)(4,e)(3,4)(2,3)$ – no simple operation is applicable to δ

- Question: are there any ciliate micronuclear patterns that cannot be assembled using simple operations ?



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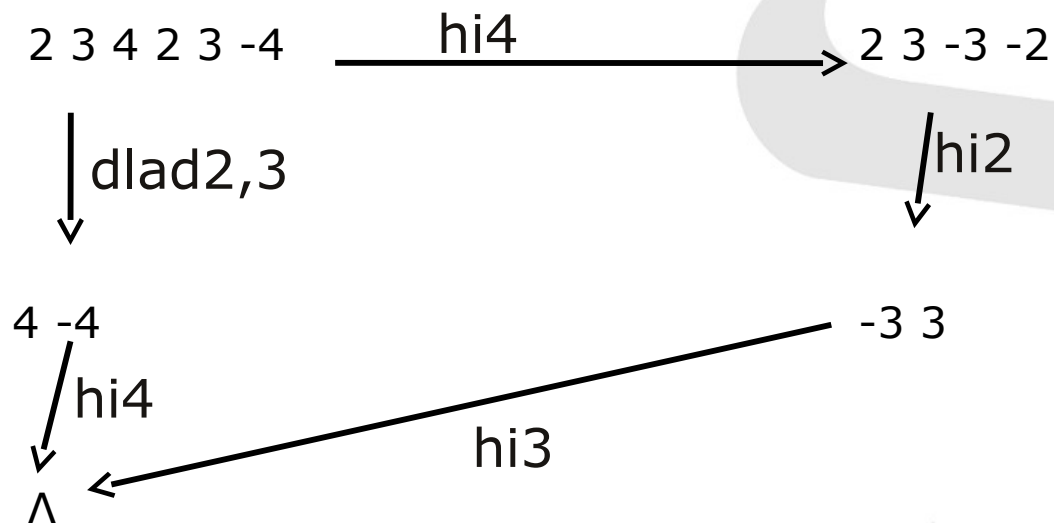
A conjecture on simple operations

- **Conjecture:** The ciliates only use simple operations in the gene assembly process
- The conjecture has been verified for *all existing experimental data*
- It makes sense from a biological point of view
- Justifies the current high interest in the simple operations and their patterns



General assembly strategies

- Gene assembly process is non-deterministic:
 - General model may assemble the same gene pattern with strategies of different lengths and even with different types of operations
 - Example:



Simple assembly strategies

- Simple assembly process is also non-deterministic
 - However, for a gene pattern numbers of using of each of simple l_d , h_i and d_{lad} operations are preserved from one to another assembly strategy
 - Formaly: All strategies applicable to the same gene pattern have the same complexity (C_{l_d} , C_{h_i} , $C_{d_{lad}}$), where C_{l_d} is the number of l_d operations, C_{h_i} is the number of h_i operations, $C_{d_{lad}}$ is the number of d_{lad} operations.
- Simple assembly process is confluent
 - All strategies applicable to a gene pattern either assemble it to the MAC gene, or all of them fail to do that
 - Immediate consequence: one can decide in quadratic time whether a MIC gene pattern may be assembled to MAC gene – just apply any simple strategy



Assembly is nondeterministic

- Assembling MDS descriptors is nondeterministic!

$u = (3,4)(4,5)(6,7)(5,6)(7,8)(9,e)(-3,-2)(b,2)(8,9)$
 $hi_3(u) = (3,5)(6,7)(5,6)(7,8)(9,e)(-3,-2)(b,2)(8,9)$
 $ld_{-4}(hi_3(u)) = (-e,-9)(-8,-7)(-6,-5)(-7,-6)(-5,-2)(b,2)(8,9)$
 $hi_8(ld_{-4}(hi_3(u))) = (-e,-9)(-2,-b)(2,5)(6,7)(5,6)(7,9)$
 $hi_{-2}(hi_8(ld_{-4}(hi_3(u)))) = (-e,-9)(b,5)(6,7)(5,6)(7,9)$
 $dlad_{5,7}(hi_{-2}(hi_8(ld_{-4}(hi_3(u)))))) = (-e,-9)(b,6)(6,9)$
 $ld_6(dlad_{5,7}(hi_{-2}(hi_8(ld_{-4}(hi_3(u)))))) = (-e,-9)(b,9)$
 $hi_9(ld_6(dlad_{5,7}(hi_{-2}(hi_8(ld_{-4}(hi_3(u)))))) = (-e,-b)$

$u = (3,4)(4,5)(6,7)(5,6)(7,8)(9,e)(-3,-2)(b,2)(8,9)$
 $snr_4(u) = (3,5)(6,7)(5,6)(7,8)(9,e)(-3,-2)(b,2)(8,9)$
 $sdr_{5,6}(snr_4(u)) = (3,7)(7,8)(9,e)(-3,-2)(b,2)(8,9)$
 $snr_7(sdr_{5,6}(snr_4(u))) = (3,8)(9,e)(-3,-2)(b,2)(8,9)$
 $sdr_{8,9}(snr_7(sdr_{5,6}(snr_4(u)))) = (3,e)(-3,-2)(b,2)$
 $spr_{-2}(sdr_{8,9}(snr_7(sdr_{5,6}(snr_4(u)))))) = (3,e)(-3,-b)$
 $spr_3(spr_{-2}(sdr_{8,9}(snr_7(sdr_{5,6}(snr_4(u)))))) = (-e,-b)$

Reductions can have (in principle) different outcomes

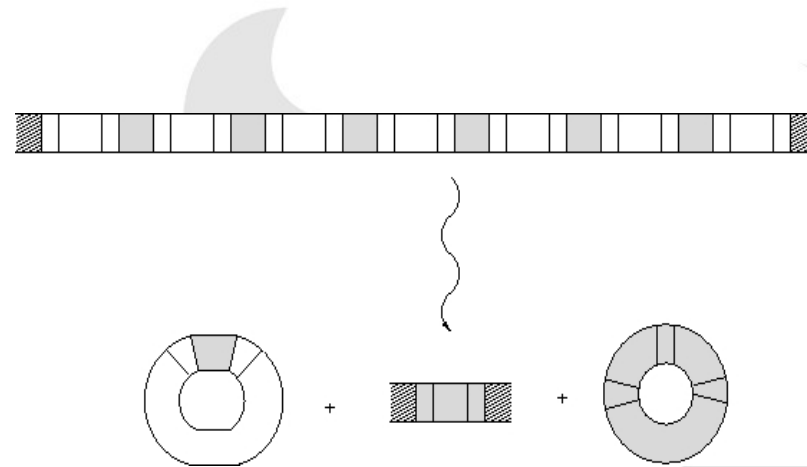
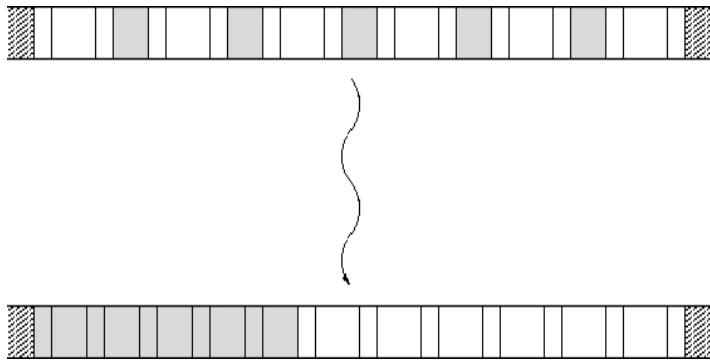
- Assembly is nondeterministic!
- A descriptor can be assembled linearly or circularly

$$\bullet ld_p(\delta_1 (q,p) (p,r) \delta_2) = \delta_1 (q,r) \delta_2$$

(linear molecule)

$$\bullet ld_p ((p,r) \delta (s,p)) = (s,r) \delta$$

(circular molecule)



Reductions can have (in principle) different outcomes

- Assembly is nondeterministic!
- A descriptor can be assembled linearly or circularly

$$\bullet \text{ld}_p(\delta_1(q,p)(p,r)\delta_2) = \delta_1(q,r)\delta_2 \quad \text{(linear molecule)}$$

$$\bullet \text{ld}_p((p,r)\delta(s,p)) = (s,r)\delta \quad \text{(circular molecule)}$$

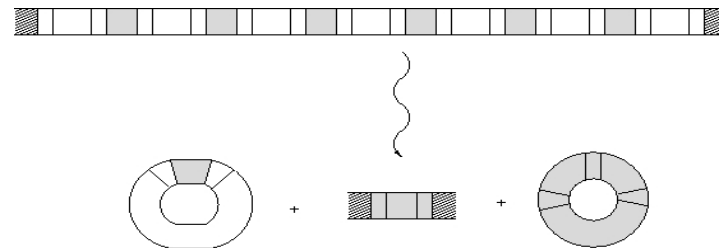
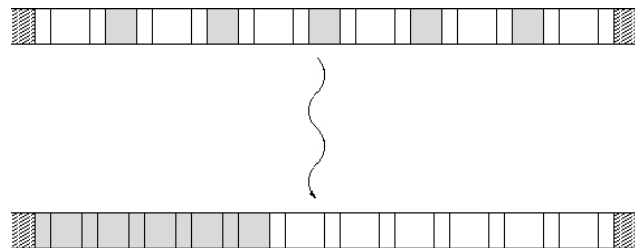
$x=(3,4)(b,2)(-e,-4)(2,3)$ is assembled on a circular molecule

$$\text{hi}_4(x)=(3,e)(-2,-b)(2,3); \text{hi}_2(\text{hi}_4(x))=(3,e)(b,3); \text{ld}_3(\text{hi}_2(\text{hi}_4(x)))=[b,e]$$

$y=(3,4)(b,2)(-e,-4)(-3,-2)$ is assembled on a linear molecule

$$\text{hi}_4(y)=(3,e)(-2-b)(-3,-2), \text{hi}_3(\text{hi}_4(y))=(b,2)(-e,-2), \text{hi}_2(\text{hi}_3(\text{hi}_4(y)))=(b,e)$$

$$\text{hi}_2(y)=(3,4)(b,3)(4,3), \text{dlad}_{3,4}(\text{hi}_2(y))=(b,e)$$



A gene can have several assembling strategies – nondeterministic process

The gene assembly process always produces:

- One linear molecule
- Possibly several circular molecules (due to *Id*)

The gene is placed on one of these molecules, possibly attached to a number of IESs

Questions:

- Is it possible than one strategy assembles the gene on a circular molecule, while another strategy assembles it on a linear one ?
- Is the set of excised molecules dependent on the strategy?

Results

- If the gene is assembled on a circular (linear, resp.) molecule by one *particular strategy*, then *all possible assembly strategies* will assemble the gene on a circular (linear, resp.) molecule
- The *context* of the gene (the sequence of IESs attached to the gene): *always the same, regardless of the reduction strategy*
- *The set of excised molecules always the same, regardless of the reduction strategy*
- **Note:** the *ld* operations (those that excise molecules) need not be applied to the same pointers in every assembling strategy



To show the context of the gene , we keep track of IESs here

$$\delta = (5,6) I_1 (2,3) I_2 (b,2) I_3 (4,5) I_4 (7,e) I_5 (3,4) I_6 (6,7)$$

- One possible assembly:
 - $\text{dlad}_{2,3}(\delta) = (5,6) I_1 I_3 (4,5) I_4 (7,e) I_5 I_2 (b,4) I_6 (6,7)$
 - $\text{dlad}_{5,6}(\text{dlad}_{2,3}(\delta)) = I_4 (7,e) I_5 I_2 (b,4) I_6 I_1 I_3 (4,7)$
 - $\text{Id}_4(\text{dlad}_{5,6}(\text{dlad}_{2,3}(\delta))) = I_4 (7,e) I_5 I_2 (b,7) + [I_6 I_1 I_3]$
 - $\text{Id}_7(\text{Id}_4(\text{dlad}_{5,6}(\text{dlad}_{2,3}(\delta)))) = \mathbf{I_4} + \mathbf{[(b,e) I_5 I_2]} + \mathbf{[I_6 I_1 I_3]}$
- Another one:
 - $\text{dlad}_{4,7}(\delta) = (5,6) I_1 (2,3) I_2 (b,2) I_3 I_6 (6,e) I_5 (3,5) I_4$
 - $\text{dlad}_{2,3}(\text{dlad}_{4,7}(\delta)) = (5,6) I_1 I_3 I_6 (6,e) I_5 I_2 (b,5) I_4$
 - $\text{Id}_6(\text{dlad}_{2,3}(\text{dlad}_{4,7}(\delta))) = (5, e) I_5 I_2 (b,5) I_4 + [I_1 I_3 I_6]$
 - $\text{Id}_5(\text{Id}_6(\text{dlad}_{2,3}(\text{dlad}_{4,7}(\delta)))) = \mathbf{I_4} + \mathbf{[(b,e) I_5 I_2]} + \mathbf{[I_1 I_3 I_6]}$



Results

- A gene is assembled on a *linear molecule* with *no context* (no IES attached to it) if and only if its micronuclear form contains either the substring

$$(k,e)(b,2) \quad \text{or} \quad (-2,-b)(-e,-k)$$

- A gene is assembled on a *circular molecule* with *no context* (no IES attached to it) if and only if its micronuclear form starts/ends with the MDSs

$$(b,2)/(k,e) \quad \text{or} \quad (-e,-k)/(-2,-b)$$



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